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Dated: 10/26/04 Signature: Crena Pacheco
(Crena Pacheco)

Docket No.: WIBL-P01-018
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Stockwell et al.

Application No.: 10/767591

Confirmation No.: 5216

Filed: January 29, 2004

Art Unit: 1614

For: IDENTIFICATION OF GENOTYPE-
SELECTIVE AGENTS FOR TREATING
HUNTINGTON'S DISEASE

Examiner: Not Yet Assigned

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith for filing in the above-referenced application are the following:

1. Power of Attorney and Correspondence Address Indication Form (1 page);
2. Statement Under 37 CFR 3.73(b) (1 page);
3. Copy of Assignment (3 pages); and
4. Return Receipt Postcard.

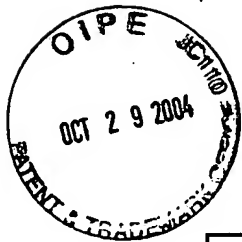
Applicant believes no fee is due. However, if a fee is due, please charge our Deposit Account No. 18-1945, under Order No. WIBL-P01-018 from which the undersigned is authorized to draw.

Dated: October 26, 2004

Respectfully submitted,

By Patricia Granahan
Patricia Granahan

Registration No.: 32,227
ROPES & GRAY LLP
One International Place
Boston, Massachusetts 02110-2624
(617) 951-7000
Attorneys/Agents For Applicant



PTO/SB/81 (06-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|-----------------------------|---|
| POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM | Application Number | 10/767591 |
| | Filing Date | January 29, 2004 |
| | First Named Inventor | Brent R. Stockwell |
| | Title | IDENTIFICATION OF GENOTYPE- SELECTIVE AGENTS, etc. |
| | Art Unit | 1614 |
| | Examiner Name | Not Yet Assigned |
| | Attorney Docket No. | WIBL-P01-018 |

I hereby appoint:

☒ Practitioners associated with the Customer Number: 28120

OR

☐ Practitioner(s) named below:

| Name | Registration Number | Name | Registration Number |
|------|------------------------|------|------------------------|
| | | | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with
Customer Number:

OR

☐ Firm or
Individual Name Patricia Granahan, Sc.D.
ROPES & GRAY LLP

Address One International Place

| | | | | | |
|---------|--------|-----------|----------------|-----|----------------|
| City | Boston | State | MA | Zip | 02110-2624 |
| Country | US | Telephone | (617) 951-7000 | Fax | (617) 951-7050 |

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record** (if assignee, put name, title and company name in the "Name" space below)

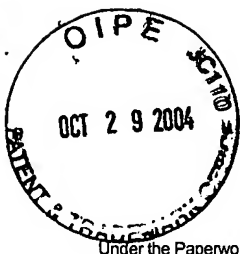
| | | | |
|-----------|-------------------|----------------------------------|--------------|
| Name | John Pratt | , Authorized Signer for Assignee | |
| Signature | <i>John Pratt</i> | | |
| Date | Sept 21 2004 | Telephone | 617 258-5104 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 1 forms are submitted.

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Dated: 10/26/04 Signature: Crena Soldano (Crena Soldano)



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Stockwell et al.

Application No./Patent No.: 10/767591 Filed/Issue Date: January 29, 2004

Entitled: IDENTIFICATION OF GENOTYPE-SELECTIVE AGENTS FOR TREATING HUNTINGTON'S DISEASE

Whitehead Institute for Biomedical Research, a Nonprofit Tax Exempt
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- [] Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sept 21, 2004
Date

617 258 5104
Telephone Number

John Pratt
Typed or printed name

[Signature]
Signature

Authorized Signer for Assignee
Title Vice President

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Dated: 10/26/04 Signature: Crena Soldano (Crena Soldano)

ASSIGNMENT

WHEREAS, each of the Undersigned has developed certain inventions described in U.S. patent application titled:

**IDENTIFICATION OF GENOTYPE-SELECTIVE AGENTS FOR TREATING
HUNTINGTON'S DISEASE**

filed on January 29, 2004, U.S. Application No. 10/767,591 and has full right to convey their entire interest, both legal and equitable, in and to said inventions free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, **Whitehead Institute for Biomedical Research** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **Nine Cambridge Center, Cambridge, Massachusetts 02142** is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

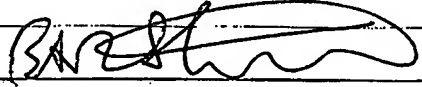
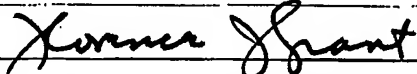
NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the

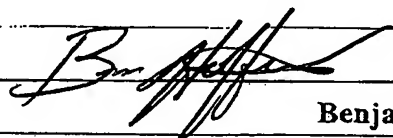
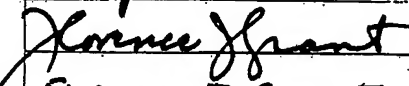
giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

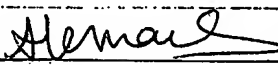
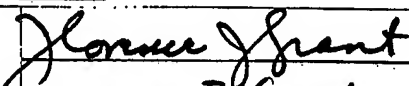
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

| | | | |
|---|---|---------------|---------|
| Inventor's Signature: |  | | 8/16/04 |
| | Brent R. Stockwell | | |
| State | | | |
| of | New York | | |
| County of | n. y. | | |
| Then personally appeared before me the above-named Brent R. Stockwell and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this <u>16</u> day of <u>Aug</u> , 2004. | | | |
| (SEAL) |  | Notary Public | |
| | Florence J. Grant | (print name) | |
| | My Commission expires <u>3 / 30 / 06</u> | | |

FLORENCE J GRANT
 Notary Public - State of New York
 NO. 01GR4666482
 Qualified in New York County
 Commission Expires Mar 30, 2006

| | | |
|---|---|---------------|
| Inventor's Signature: |  | |
| | Benjamin Hoffstrom | |
| State/ Commonwealth | | |
| of Massachusetts | New York | |
| County of | New York | |
| Then personally appeared before me the above-named Benjamin Hoffstrom and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this <u>11th</u> day of <u>August</u> , 2004. | | |
| (SEAL) |  | Notary Public |
| | Florence J. Grant | (print name) |
| | My Commission expires <u>03 / 30 / 06</u> | |

FLORENCE J GRANT
 Notary Public - State of New York
 NO. 01GR466482
 Qualified in New York County
 My Commission Expires Mar 30, 2006

| | | |
|---|---|---------------|
| Inventor's Signature: |  | |
| | Hemant Varma | |
| State/ Commonwealth | | |
| of Massachusetts | New York | |
| County of | New York | |
| Then personally appeared before me the above-named Hemant Varma and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this <u>11th</u> day of <u>August</u> , 2004. | | |
| (SEAL) |  | Notary Public |
| | Florence J. Grant | (print name) |
| | My Commission expires <u>03 / 30 / 06</u> | |

FLORENCE J GRANT
 Notary Public - State of New York
 NO. 01GR466482
 Qualified in New York County
 My Commission Expires Mar 30, 2006